

|   |                   |  |
|---|-------------------|--|
| First Named Inventor                                      | Chun Chen         | <b>GENERAL<br/>TRANSMITTAL<br/>FORM<br/>UNDER 37 CFR 1.8</b><br><br>(LARGE ENTITY) |
| Serial No.  | 10/714,752        |  |
| Filing Date   | November 17, 2003 |  |
| Group Art Unit  | Unknown           |  |
| Examiner Name   | Unknown           |  |
| Confirmation No.  | Unknown           |  |
| Attorney Docket No.                                       | 400.235US01       |  |
| Title: METHOD FOR FORMING POLYSILICON LOCAL INTERCONNECTS |                   |  |

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

|   |
|---|
| <b>Enclosures</b>   |
| <b>The following documents are enclosed:</b>  |
| <input checked="" type="checkbox"/> An Information Disclosure Statement (1 pg.); Form 1449 (1 pg.), references not enclosed pursuant to 37 C.F.R. 1.98 (a)(2)(i); |
| <input checked="" type="checkbox"/> A return postcard.  |
| <b>Please charge any additional fees or credit any overpayments to deposit account 501373.</b>  |
| <b>CUSTOMER NUMBER: 27073</b>   |

|                     |                   |          |        |           |                |
|---------------------|-------------------|----------|--------|-----------|----------------|
| <b>Submitted By</b> |                   |          |        |           |                |
| Name                | Andrew C. Walseth | Reg. No. | 43,234 | Telephone | (612) 312-2207 |
| Signature           |                   |          |        | Date      | 1/22/04        |

Leffert Jay & Polglaze P. A.; P. O. Box 581009; Minneapolis, MN 55458-1009

|  |                 |           |
|--|-----------------|-----------|
| <b>Certificate of Mailing</b>  |                 |           |
| I certify that this correspondence and the identified documents are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on January <u>22</u> , 2004. |                 |           |
| Name   | Rhonda L. Foley | Signature |



|   |                   |   |
|---|-------------------|---|
| First Named Inventor                                      | Chun Chen         | <b>INFORMATION<br/>DISCLOSURE<br/>STATEMENT</b> |
| Serial No.  | 10/714,752        |   |
| Filing Date   | November 17, 2003 |   |
| Group Art Unit  | Unknown           |   |
| Examiner Name   | Unknown           |   |
| Confirmation No.  | Unknown           |   |
| Attorney Docket No.                                       | 400.235US01       |   |
| Title: METHOD FOR FORMING POLYSILICON LOCAL INTERCONNECTS |                   |   |


Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In compliance with 37 C.F.R. §§ 1.56 and 1.97, *et seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified Application. Pursuant to 37 C.F.R. 1.98 (a)(2) (i), as this application was filed after June 30, 2003, Applicant has not included copies of U.S. Patents or U.S. Patent Applications. Applicant respectfully requests that this Information Disclosure Statement be entered and the references listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to MPEP §609, Applicant further requests that the Examiner initial next to each reference on the Form 1449 to indicate that the listed references have been considered. Applicant further requests that a copy of the initialed Form 1449 be returned with the next official communication.

As an Office Action has not yet issued in this application, Applicant believes that no fees are due. However, the Commission for Patents is hereby authorized to charge any additional fees to Deposit Account No. 501373. If the Examiner has any questions or concerns regarding this application, please contact the undersigned at the number listed below.

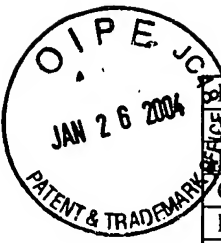
Respectfully submitted,

Date: 1/22/04

  
\_\_\_\_\_  
Andrew C. Walseth  
Reg. No. 43,234

Attorneys for Applicant  
Leffert Jay & Polglaze, P.A.  
P.O. Box 581009  
Minneapolis, MN 55458-1009

T – (612) 312-2200  
F – (612) 312-2250



|  |                   |   |
|--|-------------------|---|
| First Named Inventor   | Chun Chen         | <b>INFORMATION DISCLOSURE<br/>STATEMENT<br/>FORM PTO-1449</b> |
| Serial No.   | 10/714,752        |   |
| Filing Date  | November 17, 2003 |   |
| Group Art Unit   | Unknown           |   |
| Examiner Name  | Unknown           |   |
| Confirmation No.   | Unknown           |   |
| Attorney Docket No.  | 400.235US01       |   |
| Title: METHOD FOR FORMING POLYSILICON<br>LOCAL INTERCONNECTS |                   | Sheet 1 of 1  |

| U.S. Patent References |              |                           |          |             |
|------------------------|--------------|---------------------------|----------|-------------|
| Examiner<br>Initials   | Document No. | Issue/Publication<br>Date | Name     | Filing Date |
|                        | 20020000423  | 01/03/2002                | Becker   | 08/06/2001  |
|                        | 5,416,048    | 05/16/1995                | Blalock  | 04/16/1993  |
|                        | 5,892,285    | 04/06/1999                | Gonzalez | 02/19/1997  |
|                        | 5,937,287    | 08/10/1999                | Gonzalez | 07/22/1997  |
|                        | 5,945,348    | 08/31/1999                | Blalock  | 04/04/1996  |
|                        | 6,287,978    | 09/11/2001                | Becker   | 06/30/1999  |
|                        | 6,337,244    | 01/08/2002                | Prall    | 03/01/2000  |
|                        | 6,420,250    | 07/16/2002                | Cho      | 03/03/2000  |
|                        | 6,420,786    | 07/16/2002                | Gonzalez | 02/02/1996  |
|                        | 6,501,114    | 12/31/2002                | Cho      | 08/23/2001  |
|                        | 6,627,913    | 09/30/2003                | Chen     | 09/10/2001  |
|                        | 6,664,611    | 12/16/2003                | Chen     | 01/30/2002  |
|                        |              |                           |          |             |
|                        |              |                           |          |             |

| Foreign Patent References |                |     |      |                     |             |
|---------------------------|----------------|-----|------|---------------------|-------------|
| Examiner<br>Initials      | Foreign Patent |     | Name | Publication<br>Date | Translation |
|                           | Country        | No. |      |                     |             |
|                           |                |     |      |                     |             |

| Other References     |                                  |
|----------------------|----------------------------------|
| Examiner<br>Initials | Author, Title, Date, Pages, etc. |
|                      |                                  |

|  |  |                    |  |
|--|--|--------------------|--|
| Examiner<br>Signature  |  | Date<br>Considered |  |
| *Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |  |                    |  |